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1

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Abstract

In this paper we describe a new method to combine visual MPEG-7 descriptors with spatial information, by the use of cluster correlograms. We employ two approaches for dividing up an images for region-based image retrieval and categorization. We compare fixed partitioning and salient points schemes for dividing an image into patches, and low-level MPEG-7 visual descriptors are used to represent the patches with particular patterns. A clustering technique is applied to construct a compact representation by grouping similar patterns into a cluster codebook. The codebook will then be used to encode the patterns into visual keywords. In order to obtain high-level information about the relational context of an image, a correlogram is constructed from the spatial relations between visual keyword indices in an image. For classifying images a *k*-nearest neighbors (*k*-NN) and a support vector machine (SVM) algorithm are used and compared. The techniques are compared to other methods on two well-known datasets, namely Corel and PASCAL. To measure the performance of the proposed algorithms, average precision, a confusion matrix, and ROC-curves are used. The results show that the cluster correlogram outperforms the cluster histogram. The saliency based scheme performs similarly to the fixed partioning scheme and the support vector machine significantly outperforms the k-NN classifier.

I. Introduction

The use of digital image content in applications such as biomedicine, surveillance, and commerce has increased dramatically with the advent of digital imaging sensors. Thus, the need for an efficient

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system to facilitate users in searching and organizing image collections in a large scale database is crucial. However, developing such systems is quite difficult, because an image is an ill-defined entity [1] consisting of complex and highly variable structures. In addition, digital images can be disturbed by geometric transformations, photometric transformations or other disturbance agents. Even though the images can be of a complex nature, it is not impossible to extract an approximation of the generic meaning from the complex data of images. In literature, one of the main issues addressed in finding images from large image collections is the quality of the retrieval results. It is common experience for the user to retrieve meaningless information from the query of digital images. Therefore, effective image representation and indexing in a large database are needed and so remain a challenge in computer vision research.

Recently, the use of generic visual categorization (GVC) approaches has increased for identifying an object in an image [2]. In general, GVC is an approach that enables retrieving and categorizing a given test image with little or no supervision. This is in contrast with several proposals in literature suggesting methods such as segmentation and annotation to characterize the image content. The GVC method is inspired by the success of text-based information retrieval systems that use keywords to represent semantic information about text documents. Unlike the text keywords, GVC uses visual keywords to support querying and browsing of image data. The keywords are generated from a training set and contain high-level components related to a semantic representation of image features. The components are usually derived from the primitive features by using a clustering algorithm which allows the grouping of many features into a single visual keyword (a cluster). The clustering algorithm should ensure that each keyword sufficiently represents more compact information for the image content. Furthermore, a set of visual keywords is used to index images and different learning algorithms can be used to learn to classify images.

Constructing a set of visual keywords from an image is the most common approach in GVC. For instance, a set of visual keywords is used to retrieve images from a large dataset as described in [2]. The results are promising, even though little or no supervision is used to construct the keywords. In [3], the authors extended the idea to categorize a given test image for natural scene categories and the results are promising. Besides that, the method is also widely used in the PASCAL challenges [4]. In the 2006 challenge, results showed that different methods using visual keywords and a machine learning algorithm can detect objects and categorize images in a large and complex dataset efficiently.

We compare two different methods for dividing an image into informative patches. The first method is based on the fixed partitioning scheme where an image is divided into several blocks of the same size.

The next method is based on Speed Up Robust Features (SURF) [5]. The SURF algorithm is based upon finding locations (called keypoints) which correspond to the local extrema points at different scales or sizes. After an image has been divided into rectangular patches (fixed size or different sizes), the MPEG-7 features are used to represent these patches, and a clustering technique is applied to group similar patterns into a cluster codebook. The codebook is then used to encode patterns into visual keywords. The visual keyword indices will then be used to construct the cluster correlogram which in contrast to histograms, represents spatial relations between visual keywords. Next, a *k*-NN and support vector machine (SVM) algorithm [6] are used to learn to classify a given test image in the Corel and PASCAL datasets. We finally compare these methods to each other and to some other methods. The methods are implemented in our image recognition system called CIREC (Cluster correlogram Image REtrieval and Categorization) [7].

The methods proposed in this paper can be considered an extension of the methods of [8] and [9]. Unlike [8], we use selected MPEG-7 visual descriptors which are believed to be reliable in representing image blocks or patches. After that, the spatial arrangement is constructed by using the correlogram as mentioned in [9]. We have extended the method in [9] by using the spatial relations between visual keywords in an image so that the recognition performance could be improved. Another difference with [8], [9], [7] is that this paper compares fixed partitioning and SURF to determine interest points.

The methods are tested on the Corel and PASCAL datasets. The Corel dataset involves large objects or natural scenes which should be classified, and in this dataset background information can be very useful for recognizing an image category. The PASCAL dataset involves much smaller objects where background information is much less useful for recognizing an object.

The originality of our work is: (1) We compare new methods to efficiently combine MPEG-7 features with spatial information (2) We compare the fixed partitioning scheme to the saliency-based scheme (3) We demonstrate their effectiveness when the descriptors are combined. MPEG-7 features alone are not the best method to describe real world images, but an efficient combination of them can be (4) The comparative study of two popular learning classifier techniques for an automatic classification and categorization of real world images.

The rest of the paper is organized as follows. Section II describes related work in content-based image retrieval (CBIR) and content-based image categorization (CBIC). Section III describes the fixed partitioning and salient point methods for dividing up an image in patches. Section IV describes our system for retrieving and categorizing images with focus on the MPEG-7 visual descriptors combined with cluster correlograms. Experimental results on the Corel and PASCAL datasets are shown in Section

V. Section VI discusses the results on the Corel and PASCAL datasets. Section VII concludes the paper.

II. RELATED WORK

In this section, we briefly describe some CBIR and CBIC systems and their properties, but for a much more elaborated survey we refer to [10] and [4]. Then we describe basic image features which have been used in CIREC such as the k-means algorithm and the color correlation histogram for image indexing.

A. Content Based Image Retrieval

In 1992, T. Kato [11] and his group carried out an experiment to investigate the effect of lower-level visual features on automatic retrieving of images from a database. Since then, the term "automatic image retrieval" has been used frequently and became a practice to describe the process of retrieving images from databases on the basis of primitive features. Most of the retrieving operations are determined by comparing extracted features in two or more images in a given feature space. Usually, each image is represented by a feature vector and a distance function is used to measure the similarity between them. The features are normally extracted either globally from the whole image or locally as in region-based image schemes. Furthermore, in the region-based model, each image can be represented using segmentation, sliding windows, fixed region or saliency-based schemes. Once the image is divided in a set of regions, the next steps are to select a visual descriptor to index the regions with features and to select a machine learning algorithm.

In literature the most frequently cited image features found are color, texture and shape [12], [13], [14], [9], but the most commonly used feature to represent images is color. The color histogram is the best known and most popularly used color feature in CBIR systems and is used in systems such as QBIC [15] and PhotoBook [16]. The color histogram is (almost) invariant to rotation, translation and scaling. This approach works well especially in labeling the image content as a whole that the user is interested in (e.g. sunrises, sunsets, flowers, etc.), but it has problems when conveying image information that contains foreground and background objects and possible correlations between them. This is because the color histogram does not take into account the spatial information that is contained in an image, resulting in information loss and coarse indexing. Such indexing can potentially give false results on image queries, and sometimes two images with dramatically different semantics give rise to similar histograms.

To reduce the problem, Pass and Zabih [9] proposed a split histogram called color coherence vector (CCV). The results produced by this method are quite promising compared to a color histogram. Besides that, Huang et al. [9] proposed another kind of feature called the color correlogram which enables

computation of the correlation between colors using spatial information in an image. However, these methods still could not fully solve the problem of fuzziness and primitiveness of the color features inherently exhibited in the color histogram. The color layout feature was also introduced to overcome the drawbacks of a color histogram. In this method images are partitioned into several blocks and the average color of each block is calculated and combined [12]. However, the color layout is sensitive to shifting, cropping, scaling, and rotation because images are represented by fixed blocks.

The methods which have been mentioned above reveal that it is quite difficult to construct a good retrieval system. Most of the systems are based on features that are semantically too primitive. The problem might be more difficult if the images and important objects in them are disturbed by transformations, partial occlusion or cluttered with other objects. One way to overcome these problems is a technique that can localize and determine object positions in an image. A technique that uses a certain region-based representation such as segmentation or local features is believed to be promising to resolve the issues.

Region-based approaches are quite popular to represent local image content. One region based approach tries to apply an image segmentation technique to extract regions from images [13]. Then, similarity between images are measured by calculating the correspondences between their regions. Typical examples of region-based retrieval systems include Blobworld [13], IRM [12], VisualSEEK [14], and SIMPLIcity [12]. However, it is quite difficult to achieve accurate segmentation in an image especially for images with less distinctive objects [14].

Besides image segmentation, another way to overcome the limitations of the global feature approach is to use the fixed partitioning approach. In fixed partitioning, an image is divided into a fixed number of rectangular windows or blocks. The approach shows remarkable performance in some applications as reported in [7], [3] and [17]. Instead of the fixed partitioning, in [18] a scheme is used in which randomly generated patches are combined. The performance of this method is also quite promising in handling object class recognition. Besides that, the sliding windows approach is also possible to represent the local image content, but is inefficient for processing the visual content: one has to visit every portion of the image content, resulting in thousands of evaluations that have to be performed. The fixed partitioning technique is quite simple and easy to implement, but might be facing problems if the images are disturbed by transformations, occlusions or object clutter.

Recently, an alternative approach in representing the image with local regions was developed. The saliency-based approach is said to be capable in handling images with complex structures. What makes the method quite efficient is its point detector. There are many point detectors as mentioned in literature such as corner, blob-like structure and multi-resolution detectors. Probably the most widely used is the Harris

corner detector [19]. However, this detector is not invariant to scale change, thus it is not a stable candidate to represent the image patches. In 1999, David Lowe proposed a method called SIFT (Scale-invariant feature transform) [20]. SIFT builds an image pyramid at increasing scales and then takes the Difference of Gaussians (DoG) between layers. To locate the interest points the Hessian matrix determinant is used. This point detector is claimed to be robust and invariant to scale, rotation, viewpoints and illumination. Besides the SIFT detector, its descriptor is also widely used especially in baseline matching applications and image indexing. For example the descriptor is used in CBIR systems as reported in [21]. Not only SIFT but also its variations such as PCA-SIFT [22] and reduced SIFT [23] are used in retrieving image content.

As mentioned in literature, the regular Gaussian convolution introduced in SIFT is not a fast method and its descriptor for computing gray value gradients in multiple directions has high dimensionality. Therefore, alternative algorithms have been proposed to deal with these problems. The most recent algorithm called SURF (Speeded Up Robust Features) [5] is claimed to be comparable to or even better than SIFT in both speed and recognition accuracy. Therefore, this is the main reason to try and use SURF in this study together with a fixed partitioning approach as described in [7]. SURF will be explained in the next section.

B. Content Based Image Categorization

The goal of image categorization is to determine the object or scene categories for a given image [24]. The problem is quite challenging because a system has to distinguish between many different classes and cope with many object types simultaneously in an image. Ten years ago people used the color histogram or other global descriptors for image recognition, but they could not handle many variabilities such as different viewpoints, clutter or occlusions. After that, methods that need prior segmentation were proposed. However, it is quite impossible to achieve accurate segmentation if the images have less distinctive objects. Furthermore, the used descriptors are not robust to occlusion and not invariant to image transformations in general.

Recently, an approach which was inspired by the success of text-based information retrieval systems was proposed. The idea is that if we could describe the content of images by using words, then certainly we could also retrieve the images by these words. Following this, a bag of keywords was proposed and claimed to be effective in coping with many large inter and intra-class variabilities in an image. Even though the approach was not able to discriminate between complex shapes or geometric structures efficiently, it seems sufficient to represent generic classes of objects [24]. This approach generates a

number of image patches or blocks from both foreground and background pixels. The patches are generated from a special point detector such as SIFT or SURF which is invariant to geometric and photometric transformations or just simply by a fixed partitioning approach. For example, a bag of keypoints model for GVC was proposed in [25]. They used the Harris affine detector to detect extrema points in an image. After that, the SIFT descriptor is used to represent patches and a clustering technique is applied to group similar patterns into a cluster codebook. Finally, a bag of keypoints or histogram is constructed by counting the number of patches in an image assigned to each cluster. The naive Bayes classifier and support vector machine (SVM) are then used for visual categorization. However, this approach does not consider the spatial information between keywords for effective object categorization. Another work introduced a model to capture relationships or spatial arrangements between codewords and a Bayesian hierarchal model is used to learn and recognize natural scene categories [3]. Besides that, in [26] a fragment-based representation approach was used to capture the spatial arrangement between patches. In this technique, common image fragments are used as building blocks to represent a variety of different objects. A recent example to incorporate the spatial arrangement between patches around interest points is to use the co-occurrence matrix. In [8] a multi-resolution wavelet algorithm was used to extract interest points which are described by relational functions to the gray-value differences of pixels. Finally, a co-occurrence matrix was constructed to determine spatial relations between points.

C. The Correlogram

A spatial relation structure is important for visual perception tasks. For example, the coarseness of textures can be measured by measuring its distribution changes with the distance condition. The co-occurrence matrix [27] is a standard tool for statistical texture analysis and keeps track of the number of pairs of certain intensity pixels that occur at a certain distance and direction in an image.

Let \mathcal{I} be an image, quantized into m colors $c_1, ..., c_m$. Let p be a pixel $p = (x, y) \in \mathcal{I}$, and let $p_1 \in \mathcal{I}_{c_i}$ mean that pixel p_1 is of color c_i . The color correlogram [9] matrix C of \mathcal{I} is defined by the joint empirical probability on the image that a color c_i co-occurs with a color c_j at given distance δ and angle φ as:

$$C^{\delta\varphi}(c_i, c_j) = \mathbf{P}(p_1 \in \mathcal{I}_{c_i} \land p_2 \in \mathcal{I}_{c_j} \land D(p_1, p_2) = (\delta, \varphi))$$
(1)

Here **P** means probability, and D(x,y) denotes a distance function using polar coordinates, where $\delta > 0$ and $\varphi \in [0,2\pi]$. Usually we take a small value for δ since correlation between pixels is more relevant on small distance [28].

D. k-means Clustering

k-means clustering attempts to subdivide samples consisting of feature values into a set of clusters based on the distances between the samples. Feature vectors that are close to each other will be grouped together [29]. The method is quite fast, simple and has been applied and shown to be useful in many applications. To briefly explain the concept of the k-means algorithm suppose the observations are $\{x_i: i=1,...,L\}$. The goal of the k-means algorithm is to partition the observations into k groups with mean $\hat{x}_1, \hat{x}_2, ..., \hat{x}_k$ such that

$$KCL(k) = \sum_{i=1}^{L} \min_{1 \le j \le k} (x_i - \hat{x}_j)^2$$
 (2)

is minimized. k-means clustering works by iterating the following two steps until convergence: (1) assign each observation to the closest cluster-mean, and (2) update the cluster-mean to the centroid of all observations assigned to it in the previous step.

III. FIXED PARTITIONING AND SALIENT POINT SCHEMES

Generally, region-based feature extraction is developed to enhance CBIR systems [12], [13]. The advantage of a region-based approach is that more high-level features over the regions can be computed, such as shape or texture information, instead of using individual pixel features. Besides that, region based retrieval is more natural and intuitive than retrieval using the whole image content. Various types of image representations have been proposed to capture more relevant information about regions.

A. The Fixed Partitioning Approach

The fixed partitioning representation is described in [7], [30]. In our fixed partitioning scheme, each image is divided into B x B blocks as shown in Fig. 1(Left). The blocks are represented by quantized feature vectors and the relations between blocks are computed to capture the spatial distribution using the correlogram. This is in contrast with several proposals in literature suggesting methods such as color-based segmentation to characterize the spatial distribution of color information [31]. Each block is represented by a cluster (also known as visual keyword) index and the correlogram is used to capture the spatial relation between blocks. Thus, a more compact representation is obtained by constructing a two-dimensional cluster correlogram. However, the correlogram size depends on the number of clusters used in the *k*-means algorithm and its complexity increases quadratically when increasing this parameter.

Problems might arise if the fixed partitioning divides an important object in two or more parts. Therefore, a more recent technique named the saliency-based approach is proposed.





Fig. 1. Left: Partitioned image. Right: Detected interest points.

B. The Saliency-Based Approach

Images taken from scenes usually have many variabilities such as viewpoint, clutter and occlusion. Most of these are quite difficult to handle with a global based approach like segmentation or fixed partitioning. There exists a technique that can cope with these problems named the saliency-based approach. The approach is claimed to be local and so it is robust to occlusion and clutter. Besides that, it is robust to photometric information and therefore provides more distinctive and well localisable features, and it is also invariant to image transformations and illumination changes. Furthermore, the algorithm does not need prior segmentation of the images, but is based on the repeatable computation of local extrema points between the scale spaces of an image.

The saliency-based approach is quite popular for finding image correspondences between two images taken under various types of transformations of the same scene or object. Nowadays, it is also quite frequently used in image recognition systems. There are three main steps that have to be performed using this approach: (1) interest points detection, (2) interest points description, and (3) matching. The first step is to detect interest point locations. A point detector is used to detect the locations where the pattern of the local region is salient. Usually, the points are detected based on extrema thresholds in the current image's scale-space. An ideal point detector would be repeatable against any chosen viewing conditions. Once the interest points are detected, the next step is to describe the patches around the selected points with a feature vector. A good feature descriptor would be distinctive, robust to noise, and robust to geometric and photometric deformations [19]. Finally, the similarity between interest points descriptions in two different images is measured by using a distance metric such as the Manhattan or Chi-square distance function.

As mentioned, there are different techniques to detect and describe interest points such as SIFT and SURF. We will use SURF in the saliency based scheme, because it is fast and was shown to perform

well. Fig. 1(Right) shows an example of the detected interest points using SURF.

C. Speeded Up Robust Feature (SURF)

Only the first two processes of the SURF algorithm are described, since the SURF descriptor and points matching functions are not used in this paper, because we use MPEG-7 features. The overall process is illustrated in Fig. 2.

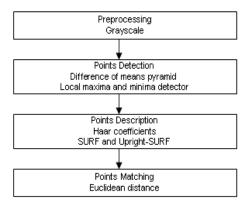


Fig. 2. SURF's flowchart.

- 1) Preprocessing: Usually interest points are detected under illumination changes in an image. Therefore, the first step is to convert color images to grayscale images. The grayscale type is used because it is simple to interpret and enhance. Besides using the grayscale values for every pixel, each image in the dataset is also to be resized in order to increase the performance of the points detector algorithm. Therefore, particular input images are down-sampled in order to decrease the number of pixels, whilst maintaining its aspect ratio so that the image quality can almost be preserved.
- 2) Points Detection: Once the image is transformed into the grayscale level, the next step is to localize the interest points. The interest points are detected based on the extrema thresholds found in the image's scale-space. In SURF, the integral image and the Hessian matrix are used to detect the extrema points. The integral image is computed only once for an image and then re-used frequently to compute other related functions.

The integral image $\mathbf{i}\mathbf{i}$ is an intermediate representation for the original image \mathcal{I} . For an image \mathcal{I} , the sum of gray scale intensity values could be defined as [32]:

$$ii(x,y) = \sum_{x'=0}^{x} \sum_{y'=0}^{y} \mathcal{I}(x',y')$$
(3)

The integral image can be computed recursively by using the following pair of recurrences:

$$s(x,y) = s(x,y-1) + \mathcal{I}(x,y) \tag{4}$$

$$ii(x, y) = ii(x - 1, y) + s(x, y)$$

$$(5)$$

where s(x,y) is the cumulative row sum, with s(x,-1) = 0, and $\ddot{u}(-1,y) = 0$. The equations show that the integral image can be computed in only one scan over the input data. This can be used to significantly speed-up the point detector algorithm.

Once the integral image is constructed, the next step is to build the image's scale space. SURF approximates the Gaussian function with several box filters. The box filter masks with different sizes are used to convolve all intensity values at different scale layers in the integral image. The size of the integral image will not be reduced during this process, and as a result the same resolution input is used in each scale. The filtered images are then subtracted from each other to obtain the Difference of Gaussians (DoG) approximation. SURF uses a 9 x 9 filter as the initial scale layer which is equivalent to Gaussian derivatives with $\sigma = 1.2$. Fig. 3 and 4 show corresponding Gaussian second order derivatives with the box filters in the y-direction and xy-direction respectively.

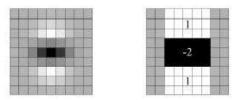


Fig. 3. Left: Gaussian second order partial derivatives in y-direction. Right: approximation of using box filter. Source: [5]

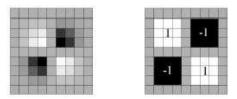


Fig. 4. Left: Gaussian second order partial derivatives in xy-direction. Right: approximation of using box filter. Source: [5]

Once the approximation of the DoG is determined, the next step is to construct functions that can be used to select extrema points. The local maxima and minima functions correspond to a 3 x 3 x 3

neighborhood as indicated in Fig. 5. Each sample point is compared with its 8 neighbors in the current image and 9 neighbors in the same scale above and below it. In short there are 26 points that have to be compared at a time. A point is selected as salient point if it has the largest or the smallest value. points.

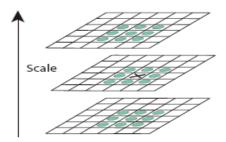


Fig. 5. A point is compared to its 26 neighbors. Source: [20]

Accepting or rejecting local maxima can be done by computing the sum of the eigenvalues from the trace of a 2 x 2 Hessian matrix and its product from the determinant. Given a point $\mathbf{x} = (x,y)$ in a 2D image \mathcal{I} , the Hessian matrix \mathbf{H} in \mathbf{x} at scale σ is defined as follows:

$$\mathbf{H}(\mathbf{x},\sigma) = egin{bmatrix} L_{xx} & L_{xy} \ L_{yx} & L_{yy} \end{bmatrix},$$

Where $L_{xx}(\mathbf{x}, \sigma)$ is the convolution of the Gaussian second order derivative $\frac{\partial^2}{\partial x^2}g(\sigma)$ with the image \mathcal{I} in point \mathbf{x} , and similarly for $L_{xy}(\mathbf{x}, \sigma)$ and $L_{yy}(\mathbf{x}, \sigma)$. Instead of using the regular Gaussian convolutions, SURF approximates second order Gaussian derivatives with simple box filters.

Let's denote the approximation of the second order derivatives as D_{xx} , D_{yy} and D_{xy} which are computed by applying the different simple box-filters. Then the trace **Tr** and determinant **Det** approximations for the Hessian matrix \mathbf{H}_{approx} are used to check whether the ratio of principal curvature is below some threshold r as follows:

$$\frac{\mathbf{Tr}(\mathbf{H}_{approx})^2}{\mathbf{Det}(\mathbf{H}_{approx})} < r \tag{6}$$

where

$$Tr(H_{approx}) = D_{xx} + D_{yy}. (7)$$

$$Det(H_{approx}) = D_{xx}D_{yy} - (0.9D_{xy})^2.$$
 (8)

The constant 0.9 is used here to empirically improve the performance and r is optimized in the experiments. Following this, the selected interest points and its corresponding scale are sent to the next stage for making a representation of the image.

IV. IMAGE REPRESENTATION IN CIREC

Image representation is one of the main factors for effective and efficient retrieval and categorization of visual images. CIREC works with local image features that are composed of groups of pixels and uses MPEG-7 features to describe the patches. The region-based approaches introduced in CIREC work well with a clustering approach to extract codewords from the low-level basic features in an image. The keywords corresponding to a region are produced by the discretization in a clustering algorithm, and therefore can be directly used to construct the cluster correlogram to capture spatial relations in an image.

A. MPEG-7 Content Descriptors

Image features for visual content description is crucial. Good features help to discover meaningful patterns in the image. Until now, there is no agreement what type of features should be used to produce an optimal query result for all images. For instance, a color histogram is quite good to capture the color distribution, but suffers from lack of spatial relation information and discrimitive higher-level features. MPEG-7 has been proposed to provide a standard for indexing for multimedia content [33]. We will use these features as primitives for computing different cluster correlograms in CIREC.

The MPEG-7 standard defines a comprehensive, standardized set for effective searching, identifying, filtering, and browsing in multimedia contents such as images, videos, audios, and other digital or even analog materials [33], [34]. To support various types of descriptors, MPEG-7 is organized into several groups. In CIREC, we have chosen four primitive MPEG-7 visual descriptors. MPEG-7 contains different primitive descriptors that enable to describe characteristics of real-world images. Instead of using them separately, it might be a good idea to combine the descriptors together, since this increases the amount of information about an image. We want to test the effectiveness of using MPEG-7's features in the cluster correlogram, because they are easy and fast to compute and have been shown to work well in practice [7]. Finally, it gives an easy way to compare our algorithm with other systems that are based on the same standard.

In CIREC, we use the following MPEG-7 visual descriptors namely three color descriptors and one texture descriptor.

1) Color Descriptors: Color is a very useful component in visual perception. It is the most instantaneous method of conveying message and meanings in an image. CIREC uses the following color descriptors:

Scalable color - Is a color histogram. The histogram is composed of 256 bins and quantized in HSV color space with 16, 4, 4 values respectively. Then the histogram is encoded by a Haar transform to produce a descriptor. Then a number of coefficients is used to represent the descriptor. CIREC uses 64 coefficients to represent each block or patch which are believed to provide a reasonably good performance.

Color Layout - The main purpose of the color layout feature is to represent the spatial distribution of colors in an image. It is formed by dividing an image into 8 x 8 non-overlapping blocks and then the representative of YCbCr color system for each block is obtained. A Discrete Cosine Transform (DCT) is applied to each block and its coefficients are used as a descriptor. It should be noted that the representation of this descriptor is in frequency domain. Thus, we have used 6, 3, 3 for the Y, Cb, Cr coefficients respectively. The descriptor with 12 coefficients was found to be the best value for retrieval performance.

Color Structure - The main purpose is to represent local color features in an image. The image is quantized using the HMMD (Hue, Max, Min, Diff) color space. Next, a window is slided across the image and at each location the number of times a particular quantized color is contained in the window is counted and stored in a histogram called a color structuring element histogram. Then, the color structure histogram is constructed by incrementing the color present in the structuring element for each window. The color structure histogram is then re-quantized and normalized to construct a descriptor. The descriptor with a 64-bin seems to work well to capture overall information about a region.

2) Texture Descriptors: Texture is quite important to check homogeneity and non-homogeneity between images. CIREC has used the following texture descriptor:

Edge Histogram - Instead of color information, the human is known to be sensitive to edge features. The edge histogram describes a non-homogeneous texture and captures a local spatial distribution of edges. First, an image is divided into 4 x 4 non-overlapping blocks. Then, using an edge detection algorithm, six different edge types (horizontal, vertical, 45° , 135° , non-directional, no-edge) are extracted. Finally, the descriptor with a 80-bin histogram for each image is constructed by excluding the no-edge information.

In the literature, texture distribution is quite efficient to represent semantics of an image in uniform background. But sometimes, the semantics might be distracted with other meaningless information. In that sense, color features would be helpful to discriminate homogeneity or non-homogeneity against other information. Note that in other image recognition systems the above features are computed on the whole

image, but in CIREC these features are computed in each region separately.

B. Cluster Correlogram Indexing

Histograms of codewords have been widely used and demonstrated impressive levels of performance in image classification and categorization applications [3], [2], [25]. However, because these methods disregard information about the spatial relation between local features, existing results still leave room for improvements. Here, we propose cluster correlograms using MPEG-7 descriptors as features to be fed into classification techniques. Besides the spatial relation, more high-level features such as shape or texture information can be captured to improve the indexing performance.

Once the low-level features are extracted, the next step is to organize these features to obtain high-level information about context in the images. Based on these considerations, we have proposed two methods to represent regions in an image that can work with any type of low-level visual descriptor as shown in Fig. 6.

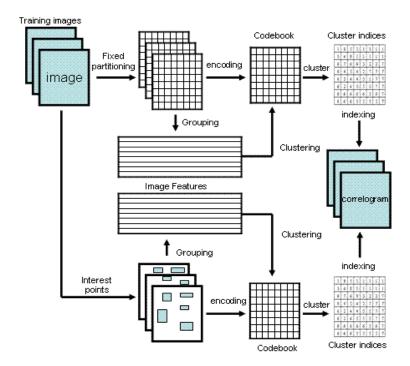


Fig. 6. Top-half: The Fixed partitioning correlogram indexing, Bottom-half: The Saliency-based correlogram indexing,

1) Cluster Correlogram using Fixed Partitioning Approach: As mentioned, in this scheme each image is divided into a certain number of fixed size rectangular blocks shown in Fig. 1(left). The cluster correlogram is now computed based on the cluster indices in the fixed regions, where the cluster indices

are obtained by k-means clustering on MPEG-7 descriptions of all blocks of all images. Thus, the cluster correlogram uses cluster indices of regions instead of pixel colors, and the rest is similar to the color correlogram described by Equation (1). Clusters which have a regional distance smaller than 2 are used for computing the cluster correlogram (which means that every region is correlated with its 8 neighbors). Note that since we cluster the four MPEG-7 descriptions separately, we finally obtain four cluster correlograms. If we would combine them in a Cartesian product, the combined correlogram would become too large. Furthermore, we will feed the cluster correlograms to a machine learning algorithm that can find non-linear patterns in an automatic data-driven way.

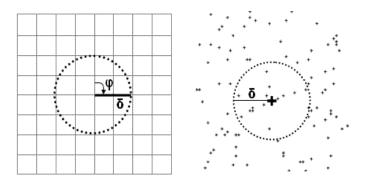


Fig. 7. Difference between fixed partitioning correlogram descriptor and saliency-based correlogram descriptor. Left: A descriptor is formed by applying a distance δ and phase φ to the specific region. Right: A descriptor is formed by finding the δ nearest patches to the current patch points.

2) Cluster Correlogram using Saliency-based Approach: In this scheme, SURF is used to divide an image into various rectangular patches. The patches which are processed recursively, are composed of different sizes and locations as shown in Fig 1(right). For speed reasons we do not perform the orientation related computations on these patches. Once the cluster index for each patch is calculated, the next step is to construct the cluster correlogram. The spatial relation is constructed by considering the δ nearest patches from a current patch point. Finally, all points will be visited to construct the correlogram. Fig. 7 shows the difference between the fixed partitioning and saliency-based approaches for the construction of the cluster correlogram.

C. Similarity Matching and Classification

Once the signatures of all images are obtained, they can be used to determine the category of an unlabeled test image. The feature vector of each signature is represented by a 2D $m \times m$ matrix where m

is the number of clusters. Note that since we use four feature descriptors, we have four different signatures. The m value is varied and it depends on the number of clusters used in the clustering algorithm. If m clusters are used then the feature dimension size for each image would be $4m^2$.

- 1) k-Nearest Neighbors Classifier: First, the k-nearest neighbors (k-NN) algorithm is used to classify a given test image. The k-NN is a simple classifier based on the idea that similar observations belong to similar classes. This learning algorithm consists of a training phase and testing phase. In the training phase, a training dataset is constructed which could be described by the set of labeled examples $P = \{(a_1, c_1), (a_2, c_2), ..., (a_i, c_i)\}$ where a_i is a training pattern in the training data set, c_i is its corresponding class and i = 1...n is the number of training patterns. In the testing phase, the query starts at a given unlabeled point and the algorithm generates a list of the k nearest records from the entire set of training patterns. Then, the classification is done by a majority voting scheme to label the class of a test image. The similarity between two feature vectors is measured by using the Manhattan distance between two images described by four cluster correlograms. We chose the Manhattan distance because it gives the best performance in our experiments.
- 2) SVM Classifier: Besides k-NN classification, we employ an SVM [6] to classify and categorize the input images. The one-vs-one approach is used to train and classify images in the Corel and PASCAL datasets. The cluster correlogram is quite large and therefore we use SVM's linear kernel for learning and classifying images [35].

Initially, all attributes in training and testing were normalized to the interval [-1,+1]. The normalization is used to avoid numerical difficulties during the calculation and to make sure the larger values do not dominate the smaller ones. To optimize the classification performance, of course the kernel parameter C has to be determined. In our case, all experiments were performed for

values of C in the interval from 24 to 1024, in 100 steps using the libSVM library [36].

V. EXPERIMENTS

For a more robust comparison between the proposed algorithms, a variety of datasets are needed. Besides that, the datasets used should be familiar to CBIR and machine vision researchers. To demonstrate the performance of our proposed algorithms, we have used two well known datasets namely Corel¹ and PASCAL². These datasets contain various image sizes and were categorized into 10 different classes.

¹The dataset is available from http://www.corel.com

²PASCAL stands for pattern analysis, statistical modeling and computational learning. The dataset is available from http://www.pascal-network.org/challanges/VOC/

We will explain the evaluation measures, the datasets, and the performance results of our proposed algorithms compared to other systems in the following subsections.

A. Evaluation Methods

In the experiments we have used three evaluation measures, namely the average precision, a confusion matrix and receiver operating characteristics curve (ROC-curve). The reason why we have chosen to use these measures is that they are standardized, and they will enable us to compare our proposed algorithms with other systems.

1) Average precision: For evaluating CIREC's retrieval performance, we compute the average precision on the queries. In general, we want to have N images returned having the same category as the query image. In our comparison all images will be used one time as a query image. The precision is then computed as follows. Let $\mathbf{Rank}(Q, \mathcal{I}_i) \in [1,n]$ be the rank of retrieved image \mathcal{I}_i from the database, where n is the number of images in a dataset and Q is a query image. The images having a rank below some number N may contain relevant and irrelevant images. Next, let $C(Q, \mathcal{I}_i)$ denote that the retrieved image \mathcal{I}_i has the same category as the query image Q. The precision P0 of the first N retrieved images for a query Q is defined as:

$$P(Q,N) = \frac{|\{\mathcal{I}_i | \mathbf{Rank}(Q, \mathcal{I}_i) \le N \land C(Q, \mathcal{I}_i)\}|}{N}$$
(9)

We used it to compare our algorithms with other systems for the Corel dataset.

- 2) Confusion matrix: The confusion matrix is used to compute the accuracy of the classification models. It can be used to visualize the errors on a given image category. A k-nearest neighbor classifier using majority voting of the retrieved images and an SVM are used to categorize a test image. For the k-NN classifier various values of k are tested. We have introduced a rule which says that when multiple categories have the same number of votes with a particular k > 1, the query image is assigned to the category with the lowest index.
- 3) Receiver Operating Characteristic Curve (ROC): The ROC-curve is generally used to evaluate a classifier's performance or to compare different classifiers. It is widely used especially to determine the threshold that gives a large number of correct classifications while keeping the number of false positive matches low. The ROC curve is measured by calculating the relationship between the sensitivity (True Positive Rate (TPR)) and the specificity (False Positive Rate (FPR)). To have a single performance measure, we compute the area under the ROC curve (AUC). This measure is used to compare our

TABLE I
PARAMETERS USED IN COREL DATASET

Visual Descriptors	Fixed P	artitioning	Salient Points			
	size	cluster	neighbor	cluster		
Scalable Color	16x16	24	8	24		
Color Layout	16x16	24	8	24		
Color Structure	24x24	32	8	24		
Edge Histogram	16x16	24	32	24		

TABLE II
PARAMETERS USED IN PASCAL DATASET

Visual Descriptors	Fixed P	artitioning	Salient Points			
	size	cluster	neighbor	cluster		
Scalable Color	28x28	32	30	32		
Color Layout	28x28	32	30	32		
Color Structure	28x28	32	30	32		
Edge Histogram	28x28	32	30	32		

algorithms with other systems on the PASCAL dataset. We do not use accuracy here, since an image in the PASCAL dataset can consist of multiple objects that need to be detected.

B. Evaluation on Datasets

We have used two different datasets to measure the performance of the proposed algorithms. The Corel and PASCAL datasets are used to compare the two schemes to each other and some other algorithms like the MPEG-7 features and the color correlogram. We test the algorithms with different numbers of blocks, clusters, and neighbors using the k-NN classifier as mentioned in Table I and Table II. The values of the parameters were determined in the training session by a trial and test approach. Finally, the best parameters are then used for the k-NN and the SVM classifiers.

1) Corel dataset: The Corel dataset has become a de-facto standard in demonstrating the performance of CBIR systems [12][13]. In general, Corel contains a collection of more than 800 photo CDs and about 100 images for each theme. We used the first 10 categories and a total of 10x100=1000 images for evaluation, also known as the Wang dataset [12]. These images are all in JPEG format with size 384

x 256 or 256 x 384 and were categorized into 10 different groups namely Africans, beaches, buildings, buses, dinosaurs, elephants, flowers, horses, mountains and foods. In this dataset, there is only one interest object category for each image and its appearance looks consistently good. The position of the interest object is approximately centered or takes up most of the whole image size. Besides that, the pictures taken in each group tend to be similar in viewpoints and orientations. The images seem to be simple with little or no occlusion and clutter. Fig. 8 shows the ground truth for different groups in the Corel dataset.

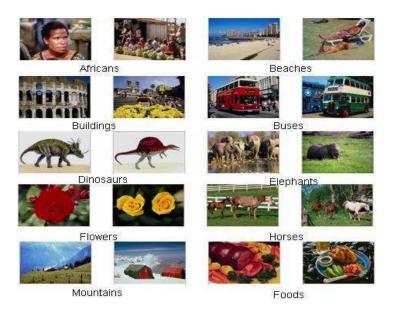


Fig. 8. Image examples with ground truth for different groups namely Africans, beaches, buildings, buses, dinosaurs, elephants, flowers, horses, mountains and foods respectively.

For evaluating the fixed partitioning and saliency-based approach and further comparing it to using the color correlogram and global MPEG-7 features, we first compute the precision of the retrieved images on the queries. In our comparison all images will be used one time as a query image. The precision is then computed using equation (9). Table III shows the average precision of the fixed partitioning (M1), salient points (M2), color correlogram (M3) and MPEG-7 visual descriptors (M4) (with $\delta = 1$) over 10, 20, 30, 40 and 50 retrieved images for each group using the k-NN classifier. The results clearly show that the cluster correlogram with the fixed partitioning and salient points schemes outperforms the other methods and that the fixed partitioning performs slightly better than the salient points scheme.

We have also compared our proposed algorithms with another CBIR system based on the wavelet correlogram [37]. In this comparison, the same methodology of evaluation is used to compute the average precision for every query image. When retrieving 10 images, the precision of the wavelet correlogram is

TABLE III

The average precision on the different approaches. M1=Fixed Partitioning, M2=Salient Points, M3=Color Correlogram, and M4=MPEG-7.

	Number of retrieved images									
	10	20	30	40	50					
M1	0.80	0.76	0.73	0.70	0.67					
M2	0.78	0.74	0.71	0.68	0.65					
М3	0.71	0.65	0.61	0.58	0.56					
M4	0.62	0.56	0.52	0.50	0.47					

TABLE IV $\label{thm:constraint}$ The average categorization precision results using a K-nearest neighbors classifier. The best result is Marked in boldface.

k	1	3	5	7	9	19
Fixed Partitioning	87.9	89.4	89.2	89.7	88.2	88.0
Salient Points	85.4	86.5	87.4	88.6	87.5	87.1
Color Correlogram	80.7	81.2	80.4	80.7	81.5	80.0
MPEG-7	71.4	74.8	74.8	74.5	73.7	72.8

0.73, which is much lower than the performance of our proposed systems.

To measure the fixed partitioning and saliency-based performances for image categorization, we have tested these schemes in combination with the k-nearest neighbor method (k-NN). Table IV shows the overall image categorization performance of the fixed partitioning and saliency-based schemes using the k-nearest neighbors classifier. We have tested with various values of k namely k = 1, 3, 5, 7, 9, and 19. In this experiment, the fixed partitioning gives the best performance with k = 7 and yields 89.7% correctly classified images. Other state-of-the-art categorization systems that have been applied to categorize images of the same Corel dataset are: (1) the use of a set of features and support vector machines (SVMs) [38], (2) invariant feature histogram [39], and (3) a system that combined five different features [40]. These systems scored 81.5%, 84.5%, and 87.3% respectively, on the same dataset. This indicates that the fixed partitioning with MPEG-7 correlograms performs very well and works well in combination with a simple k-NN classifier.

We have also done experiments with a support vector machine using the cluster correlogram and a bag of keywords (or cluster histogram). Table V shows the results for the experiment with the SVM.

 $\label{table V} TABLE\ V$ The average categorization precision results using an SVM.

Cluster Corr	elogram	Cluster Histogram				
fixed partitioning	salient points	fixed partitioning	salient points			
93.4	93.4 91.8		90.8			

TABLE VI

The confusion matrix of image categorization using the fixed partitioning with SVM. A=Africans, B=Beaches, C=Buildings, D=Buses, E=Dinosaurs, F=Elephants, G=Flowers, H=Horses, I=Mountains, and J=Foods.

	A	B	C	D	E	F	G	Н	I	J
A	89	1	1	0	1	7	0	0	1	0
В	2	85	2	1	0	0	1	1	<u>8</u>	0
С	1	4	86	2	0	4	1	0	0	2
D	0	1	0	98	0	0	0	0	0	1
Е	0	0	0	0	100	0	0	0	0	0
F	1	0	1	0	0	94	0	3	1	0
G	0	0	0	0	0	0	99	0	0	1
Н	0	1	0	0	0	0	0	99	0	0
I	0	7	0	0	0	3	0	0	90	0
J	2	1	0	1	0	0	0	0	2	94

It is shown that the SVM significantly outperforms the k-NN. Furthermore, the cluster correlogram outperforms the cluster histogram even though we experimentally optimized the number of clusters for the cluster histogram. Finally we have used 320 clusters when fixed partitioning was used and 256 clusters using salient points. The clustering using k-means clustering took much more computational time than the use of the small number of clusters that were used in the cluster correlogram. Therefore the results show that the cluster correlogram clearly has advantages for the Corel dataset compared to a cluster histogram.

We also show the results of using the fixed partitioning for image categorization with SVM in a confusion matrix in table VI. The confusion matrix is a square matrix that shows the various classifications and misclassifications of the classifier. In the confusion matrix, numbers on the diagonal are correct classifications and off-diagonal numbers correspond to misclassifications. A detailed examination of the



Fig. 9. Some sample images are misclassified. The first row is misclassified as "Beaches" and the second row as "Mountains (with glaciers)". The first and second rows should be classified as "Mountains (with glaciers)" and "Beaches" respectively.

TABLE VII

THE CONFUSION MATRIX OF IMAGE CATEGORIZATION USING THE SALIENT POINTS WITH SVM. A=AFRICANS, B=Beaches, C=Buildings, D=Buses, E=Dinosaurs, F=Elephants, G=Flowers, H=Horses, I=Mountains, and J=Foods.

	A	B	C	D	E	F	G	Н	I	J
A	88	2	3	0	0	6	0	0	0	1
В	3	80	2	1	0	2	1	0	9	2
С	1	1	90	1	0	2	1	0	3	1
D	0	1	0	99	0	0	0	0	0	0
Е	0	0	0	0	99	0	0	0	0	1
F	4	1	2	0	0	87	0	3	3	0
G	1	0	0	0	0	1	98	0	0	0
Н	0	1	0	0	0	0	0	99	0	0
I	0	8	1	0	0	3	3	1	84	0
J	0	3	1	0	0	0	0	0	2	94

confusion matrix shows that there are two distinct misclassifications (the underlined numbers in Table VI). The model is slightly confused to make distinctions between "Beaches" and "Mountains (with glaciers)". The difficulty of distinguishing between these two categories has also been noted in other studies. Fig. 9 shows misclassified images from both categories. For a detailed comparison the confusion matrix of the salient points scheme is displayed in Table VII. The salient points scheme performs better for predicting buildings and much worse for mountains than fixed partitioning. Finally, the confusion matrix also shows that often Africans are categorized as Elephants, which can be explained by the similar backgrounds.

2) PASCAL dataset: This dataset is used to compare our algorithms with other systems as reported in the 2006 PASCAL challenge. The dataset is designed to recognize objects from a number of visual

object classes in realistic scenes. Ten object classes are provided in the dataset namely bicycle, bus, car, motorbike, cat, cow, dog, horse, sheep and person. Each category has a different number of photos and have various image sizes. The images are collected from the photo-sharing web-site "flickr" and some are provided by Microsoft Research Cambridge⁴. In total there are 5304 images that contain 9507 annotated object in the dataset. The dataset is quite complicated and sometimes quite difficult for recognition purposes. The images are taken from different points of view and orientations and objects do not take up most of the image. Many objects are occluded and there is background clutter with unwanted objects. Besides that, the quality of the images is not as good in the Corel dataset. Fig. 11 shows the ground truth for different groups in the PASCAL dataset.



Fig. 10. Image examples with ground truth for different groups namely Bicycles, buses, cars, cats, cows, dogs, horses, motorbikes, persons, and sheep.

In the PASCAL challenge [4], there were three types of image sets provided to be used in the classification task, namely training data, validation data and test data. The dataset is split into 2618 images for training or validation and 2686 images for testing. Here k-NN and the SVM algorithms are used on this dataset to measure the performance of the cluster correlogram and cluster histogram with the fixed partitioning and saliency-based approaches. In the cluster histogram, we used 200 visual

³The photos can be accessed at http://www.flickr.com/

⁴http://research.microsoft.com/cambridge/

codewords by clustering MPEG-7 features with k-means. Note that, the clustering algorithm takes a long time to obtain 200 visual codewords from the training or validation images. Therefore, we saved time by choosing only 50 images for clustering from each group. In total, we used 500 images to construct the visual codewords. After that, we represent each image as the histogram of visual keywords. For the cluster correlogram we used the parameters of Table II. Table VIII shows the overall image categorization performance of the k-NN and SVM classifiers and different approaches. For k-NN, we have tested the classifier with various values of k. We found that k = 21, 35, 41, 45, 49 and 35 gave the best performance for M1, M2, M3, M4, M5 and M6 respectively.

The best result as measured by the ROC curve is underlined. In contrast to the previous experiment, this time the saliency based approach outperforms the fixed partitioning scheme in many categories. The cluster correlogram clearly outperforms the cluster histogram, color correlogram, and the use of MPEG-7 features alone. The SVM outperforms the K-NN. THe system clearly has most difficulties with recognizing persons. Finally, we have compared our approaches with other experimental results using the average ROC curve values on ten categories. In the first round of the PASCAL 2006 challenge, the best team, QMULLSPCH achieved an average AUC of 0.936 whereas the lowest ranked team (at place 18), AP06Batra, achieved an AUC of 0.702. The fixed partitioning and salient points approaches would be ranked top ten (at place 6 and 7 respectively) in the competition and therefore seem to perform reasonably well on this dataset. In contrast with the best result in this challenge, our methods are based on indexing on whole images. This indicates that the cluster correlogram is quite well without using a time-consuming detector to search for objects in an image.

VI. DISCUSSION

The proposed cluster correlogram with MPEG-7 features can deal very well with large objects or natural scenes where background information is informative. This system clearly outperforms other state of the art systems for the Corel dataset. However, since our system categorizes the whole image, it performs a bit worse for recognizing small objects as needed for the PASCAL dataset. The fixed partitioning performs better on the Corel dataset and the salient points method performs better on the PASCAL dataset. Especially for recognizing objects, there can be an advantage for the salient point scheme.

On the Corel dataset, the confusion matrices in Table VI and Table VII show that the salient points scheme is lacking in categorizing the beaches category compared to the fixed partitioning scheme. Generally, there are four factors that could influence the correlogram indexing, namely number of boxes, number of clusters, number of neighbors and size of boxes. This will indirectly affect the retrieval and

TABLE VIII

Results on different classifiers and approaches as measured by the area under the ROC curve (AUC). The best result is underlined. M1=cluster correlogram with fixed partitioning, M2=cluster correlogram with salient points, M3=color correlogram, M4=MPEG-7, M5=cluster histogram with fixed partitioning and M6=cluster histogram with salient points

			k-l	NN		SVM						
Categories	M1	M2	M3	M4	M5	M6	M1	M2	M3	M4	M5	M6
bicycle	0.860	0.862	0.768	0.764	0.851	0.845	0.886	0.909	0.825	0.876	0.845	0.847
bus	0.896	0.919	0.796	0.809	0.870	0.883	0.950	0.951	0.877	0.913	0.896	0.899
car	0.933	0.939	0.834	0.855	0.905	0.917	0.949	0.953	0.846	0.934	0.905	0.918
cat	0.841	0.837	0.790	0.751	0.851	0.845	0.876	0.875	0.817	0.861	0.864	0.855
cow	0.878	0.881	0.839	0.787	0.892	0.899	0.908	0.911	0.860	0.896	0.881	0.885
dog	0.784	0.798	0.723	0.717	0.803	0.817	0.817	0.814	0.752	0.810	0.816	0.807
horse	0.814	0.773	0.717	0.670	0.808	0.773	0.845	0.850	0.742	0.837	0.789	0.784
motorbike	0.848	0.898	0.740	0.762	0.838	0.874	0.924	0.940	0.839	0.894	0.854	0.868
person	0.742	0.748	0.646	0.639	0.677	0.692	0.771	0.778	0.706	0.762	0.660	0.678
sheep	0.881	0.892	0.860	0.810	0.896	0.903	0.908	0.913	0.879	0.910	0.876	0.906
average	0.848	0.855	0.771	0.756	0.839	0.845	0.883	0.889	0.814	0.869	0.839	0.845

categorization performance. For instance, in Fig 11, it is clearly shown why fixed partitioning outperforms the salient points in the beaches category of the Corel dataset. The number of salient points only covers a very small portion of the beach scene. As a result there is information loss and less distinctive indexing of an image.



Fig. 11. The effect of the number of patches in correlogram and histogram construction. Salient points (right) would result in coarse indexing when the number of salient points is small. This problem is not happening when using the fixed partitioning scheme (left).

VII. CONCLUSION

We have introduced a new method to combine visual MPEG-7 descriptors with spatial information, by the use of cluster correlograms. The primitives of MPEG-7 visual descriptors are used to extract and group similar patterns into a keyword index. The *k*-NN and SVM algorithms are used to classify the

test images. The experiments show that the proposed methods provide useful information to represent images. Our experimental results on real world datasets show that the use of MPEG-7 visual descriptors in a clustering algorithm provide very good results on the Corel dataset, but perform less on the PASCAL dataset. Interesting research directions that remain are the modeling of visual objects in the PASCAL dataset more explicitly and rely less on background information. We will also use other descriptors such as the SIFT descriptor [20] and combine them with the MPEG-7 features.

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